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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Srivastava

Confirmation No: 8717

Serial No.: 10/640,604

Group Art Unit: 1645

Filed: August 12, 2003

Examiner: Albert Mark Navarro

For: COMPOSITIONS AND METHODS FOR THE  
PREVENTION AND TREATMENT OF  
PRIMARY AND METASTATIC NEOPLASTIC  
DISEASES AND INFECTIOUS DISEASES WITH  
HEAT SHOCK/STRESS PROTEINS

Attorney Docket No.: 8449-317-999

INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
Alexandria, Virginia 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and § 1.97 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicant hereby direct the Examiner's attention to the references A01-A32, B01-B26 and C01-C134 listed on the attached form entitled "List of References Cited by Applicant."

The above-identified application is a continuation of U.S. patent application Serial No. 09/090,754 ("754 application"), which was filed June 4, 1998 and involved in Interference No.:104,761, which was declared October 12, 2001 and is now terminated. The '754 application is a divisional application of U.S. patent application Serial No. 08/527,391, which was filed on September 13, 1995, and which issued November 17, 1998 as US Patent No. 5,837,251.

A copy of the references A01-A31, being U.S. patents, is not submitted herewith pursuant to 37 C.F.R. §1.98(a)(2)(ii).

References A32, B01-B26, and C01-C05, C08-C09, C12, C16-C19, C22, C24-C30, C33-C35, C39-C40, C45-C46, C49-C51, C53-C54, C56, C58-C59, C61,

C65-C76, C78-C80, C79, C82, C84, C86, C89, C91-93, C91-C93, C95-C97, C99-C110, C113-C116, C118-123, C125-C127, C129 and C132-C133 were made of record in the prosecution history of the '754 application or the prosecution history of U.S. application Serial No. 08/527,391. Therefore, pursuant to 37 C.F.R. §1.98(d), a copy of these references is not submitted herewith.

References C06, C7, C10-C11, C13-C15, C20-C21, C23, C31-C32, C36-C38, C41-C44, C47-C48, C52, C55, C57, C60, C62-C64, C77, C81, C83, C85, C87-C88, C90, C94, C98, C111-C112, C117, C124, C128, C130-C131 and C134 were cited during Interference No. 104,761. A legible copy of the references C06, C7, C10-C11, C13-C15, C20-C21, C23, C31-C32, C36-C38, C41-C44, C47-C48, C52, C55, C57, C60, C62-C64, C77, C81, C83, C85, C87-C88, C90, C94, C98, C111-C112, C117, C124, C128, C130-C131 and C134 is submitted herewith.

It is respectfully requested that these references be made of record in the application.

This Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits. Therefore, pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed due. However, should the Patent and Trademark Office determine otherwise, please charge any required fee to Jones Day Deposit Account No. 503013.

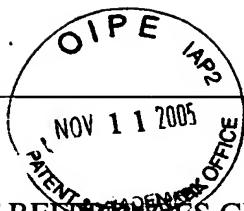
Date: November 11, 2005

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Enclosure



LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.
	8449-317-999	10/640,604
	APPLICANT	
	Srivastava	
	FILING DATE	GROUP
	8/12/2003	1645

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A01	4,690,915	9/1/87	Rosenberg			
A02	5,188,964	2/23/93	McGuire et al.			
A03	5,232,833	8/03/93	Sanders et al.			
A04	5,348,945	09/20/94	Berberian et al.			
A05	5,747,332	5/5/98	Wallen et al.			
A06	5,750,119	5/12/98	Srivastava			
A07	5,830,464	11/3/98	Srivastava			
A08	5,837,251	11/17/98	Srivastava			
A09	5,935,576	8/10/99	Srivastava			
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A11	5,961,979	10/5/99	Srivastava et al.			
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A33	6,797,480	9/28/04	Srivastava			
A34	09/090,754		Srivastava			6/4/98

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	B01	WO 89/12455	12/28/89	PCT					
	B02	WO 90/02564	3/22/90	PCT					
	B03	WO 91/15572	10/17/91	PCT					
	B04	WO 92/01717	2/6/92	PCT					
	B05	WO 92/08484	5/29/92	PCT					
	B06	WO 92/08488	5/29/92	PCT					
	B07	WO 93/14118	7/22/93	PCT					
	B08	WO 93/17712	9/16/93	PCT					
	B09	WO 93/18146	9/16/93	PCT					
	B10	WO 93/18147	9/16/93	PCT					
	B11	WO 93/18150	9/16/93	PCT					
	B12	WO 93/21529	10/28/93	PCT					
	B13	WO 93/24136	12/9/93	PCT					
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	B16	WO 94/11513	5/26/94	PCT					
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	B18	WO 97/06685	2/27/97	PCT					
	B19	WO 97/06821	2/27/97	PCT					
	B20	WO 97/06828	2/27/97	PCT					
	B21	WO 97/10001	3/20/97	PCT					
	B22	WO 97/26910	7/31/97	PCT					
	B23	WO 98/12208	3/26/98	PCT					
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